

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/824,751
Filing Date	4/15/2004
First Named Inventor	Patrick H. Dussud
Group Art Unit	2188
Examiner Name	MANO PADMANABHAN
Attorney Docket Number	MS1-1962US

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached

<input type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)

<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Documents

<input type="checkbox"/> Response to Missing Parts/
Incomplete Application

<input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence
Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication
to Group
<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(<i>Appeal Notice, Brief, Reply Brief</i>)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please
identify below):
- Copies of cited references (16)
- PTO Return Receipt Postcard |
|--|---|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Marcia A. Tunheim/Reg. No. 42189
Signature	<i>Marcia A. Tunheim</i>
Date	4/19/2005

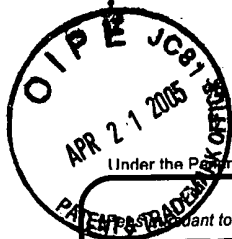
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Pamela M. Prellwitz		
Signature	<i>Pamela M. Prellwitz</i>	Date	4/19/2005

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PTO/SB/17 (12-04)

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Effective on 12/08/2004.

Subject to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number	10/824,751
Filing Date	4/15/2004
First Named Inventor	Patrick H. Dussud
Examiner Name	MANO PADMANABHAN
Art Unit	2188
Attorney Docket No.	MS1 - 1962US

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
_____ - 20 or HP = _____	x	50	= _____			
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
_____ - 3 or HP = _____	x	200	= _____			
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: _____

SUBMITTED BY

Signature	<i>Marcia A. Tunheim</i>	Registration No. 42189	Telephone (206) 315-4001
Name (Print/Type)	Marcia A. Tunheim		Date 4/19/2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 10/824,751
Filing Date 4/15/2004
Confirmation No. 7046
Inventorship Patrick H. Dussud
Applicant Microsoft Corporation
Group Art Unit 2188
Examiner MANO PADMANABHAN
Attorney's Docket No. MS1-1962US
Title: SYSTEM AND METHOD FOR PERFORMING GARBAGE
COLLECTION ON A LARGE HEAP

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO/SB/08A & SB/08B

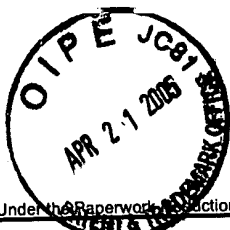
REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 4/19/2005

By: Marcia A. Tunheim
Marcia A. Tunheim
Reg. No. 42189



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/824,751
Filing Date	4/15/2004
First Named Inventor	Patrick H. Dussud
Art Unit	2188
Examiner Name	MANO PADMANABHAN
Attorney Docket Number	MS1- 1962US

Sheet 1 of 5

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 2003/0008715-A1	01/09/2003	Huber et al.	
		US- 4,296,476	10/20/1981	Mayer et al.	
		US- 4,432,067	02/14/1984	Nielsen	
		US- 4,905,280	02/27/1990	Wiedmer	
		US- 5,382,983	01/17/1995	Kwoh et al.	
		US- 5,459,487	10/17/1995	Bouton	
		US- 5,550,575	08/27/1996	West et al.	
		US- 5,551,701	09/03/1996	Bouton et al.	
		US- 5,558,339	09/24/1996	Perlman	
		US- 5,598,276	01/28/1997	Cookson et al.	
		US- 5,634,849	06/03/1997	Abecassis	
		US- 5,649,862	07/22/1997	Sakaguchi et al.	
		US- 5,716,273	02/10/1998	Yuen	
		US- 5,752,883	05/19/1998	Butcher et al.	
		US- 5,791,992	08/11/1998	Crump et al.	
		US- 5,876,286	03/02/1999	Lee	
		US- 5,878,134	03/02/1999	Handelman et al.	
		US- 5,896,125	04/20/1999	Niedzwiecki	
		US- 5,917,256	06/29/1999	Broadbent, II	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 00/40027 - A1	07/06/2000			
		WO 01/05477 - A2	01/25/2001			

Examiner
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Considered

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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Application Number	10/824,751
Filing Date	4/15/2004
First Named Inventor	Patrick H. Dussud
Art Unit	2188
Examiner Name	MANO PADMANABHAN
Attorney Docket Number	MS1- 1962US

Sheet 2 of 5

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,973,683	10/26/1999	Cragun et al.	
		US- 5,978,920	11/02/1999	Lee	
		US- 5,993,319	11/30/1999	Aoyama	
		US- 6,001,015	12/14/1999	Nishiumi et al.	
		US- 6,009,433	12/28/1999	Kurano et al.	
		US- 6,025,869	02/15/2000	Stas et al.	
		US- 6,049,810	04/11/2000	Schwartz et al.	
		US- 6,065,020	05/16/2000	Dussud	
		US- 6,115,079	09/05/2000	McRae	
		US- 6,148,309	11/14/2000	Azagury et al.	
		US- 6,148,310	11/14/2000	Azagury et al.	
		US- 6,173,294-B1	01/09/2001	Azagury et al.	
		US- 6,224,485-B1	05/01/2001	Dickinson et al.	
		US- 6,226,653-B1	05/01/2001	Alpern et al.	
		US- 6,230,320-B1	05/08/2001	Gakumura	
		US- 6,280,327-B1	08/28/2001	Leifer et al.	
		US- 6,280,329-B1	08/28/2001	Kondo et al.	
		US- 6,298,441-B1	10/02/2001	Handelman et al.	
		US- 6,299,535-B1	10/09/2001	Tanaka	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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Sheet **3** of **5****Complete if Known**

Application Number	10/824,751
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Art Unit	2188
Examiner Name	MANO PADMANABHAN
Attorney Docket Number	MS1-1962US

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
		US- 6,309,301-B1	10/30/2001	Sano	
		US- 6,312,336-B1	11/06/2001	Handelman et al.	
		US- 6,317,756-B1	11/13/2001	Kolodner et al.	
		US- 6,320,320-B1	11/20/2001	Bailey, III et al.	
		US- 6,396,531-B1	05/28/2002	Gerszberg et al.	
		US- 6,464,585-B1	10/15/2002	Miyamoto et al.	
		US- 6,468,160-B2	10/22/2002	Elliott	
		US- 6,470,361-B1	10/22/2002	Alpern et al.	
		US- 6,490,599-B2	12/03/2002	Kolodner et al.	
		US- 6,502,111-B1	12/31/2002	Dussud	
		US- 6,510,440-B1	01/21/2003	Alpern et al.	
		US- 6,520,890-B2	02/18/2003	Hsu	
		US- 6,535,269-B2	03/18/2003	Sherman et al.	
		US- 6,599,194-B1	07/29/2003	Smith et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/824,751
				Filing Date	4/15/2004
				First Named Inventor	Patrick H. Dussud
				Art Unit	2188
				Examiner Name	MANO PADMANABHAN
Sheet	4	of	5	Attorney Docket Number	MS1- 1962US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Xbox to Deliver Ultimate Console Gaming Experience," 3/10/2000, 3 pages, San Jose, CA.	
		Bloomberg News, "U.S. version of PlayStation2 to have modem, hard drive," c/netNews.com, 4/14/2000, 2 pages.	
		GORDON, C., "Interviews - John Gildred of Indrema on the L600," Planet GeForce, 10/19/2000, 5 pages.	
		IGN.COM, "What Is the 64DD?", IGN.com, 9/17/1997, 5 pages	
		IGN.COM, "The 64DD: Nintendo's Disk Drive - Everything you ever wanted to know about the N64's first major add-on," IGN.com, 1/28/1998, 4 pages.	
		IGN.COM, "The 64DD Connection - Here's how the 64DD and the Nintendo 64 connect," IGN.com, 10/20/1999, 4 pages.	
		IGN.COM, "IGN64's Ultimate 64DD FAQ - Everything you ever wanted to know about the upcoming disk drive add-on," IGN.com, 12/15/1999, 8 pages.	
		FEDOR, J., JOHNSON, S., and CARVER, B., "Links 386CD, Players Manual," Access Software Inc., 1/1/1995, pp. 1-87.	
		LEHRBAUM, R., "Linux fuels game console plus," ZDNet News, 7/6/2000, 5 pages.	
		TAKAHASHI, D., "Microsoft goes gaming (Product Development)," Electronic Business, May 2000, 2 pages.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Attorney Docket Number	MS1- 1962US

Sheet 5 of 5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MOWATT, T., "Indrema: Linux-Based Console," c/net News.com, 8/11/2000, 2 pages.	
		"Metropolis Street Racer Review," DailyRadar.com, 11/13/2005, 5 pages.	
		SEGA Enterprises, Ltd., "Metropolis Street Racer," User Manual, 2000, 18 pages.	
		JONES and LINS, "Generational Garbage Collection," Inter-Generational Pointers, pp. 171-173.	

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